COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

DECISION

Richard L. Milner Cooper & Dunham 1185 Avenue of the Americas New York, New York 10036

In re Application of

GAN, Zhino

U.S. Application No.: 10/088,532

PCT No.: PCT/CA00/01153

Int. Filing Date: 03 October 2000 Priority Date: 04 October 1999

Attorney Docket No.: 67473/RSM

For: NON-SEPARATION HETEROGENOUS ASSAY:

FOR BIOLOGICAL SUBSTANCES

This is a response to applicant's "RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371" filed 11 September 2002.

## **BACKGROUND**

On 03 October 2000, applicant filed international application PCT/CA00/01153. A copy of the international application was communicated to the United States Patent and Trademark Office from the International Bureau on 12 April 2001. A Demand for international preliminary examination, in which the United States was elected, was filed prior to the expiration of nineteen months from the priority date. Accordingly, the thirtymonth period for paying the basic national fee in the United States expired at midnight on 04 April 2001.

On 03 April 2001, applicant filed a transmittal letter for entry into the national stage in the United States which was accompanied by, inter alia: the basic national fee; a copy of the international application; a preliminary amendment; and an unexecuted declaration and power of attorney.

On 02 July 2001, the United States Designated/Elected Office (DO/EO/US) mailed a NOTIFICATION OF MISSING REQUIREMENT UNDER 35 U.S.C. 371 (Form PCT/DO/EO/905) indicating that a signed oath/declaration of the inventors in compliance with 37 CFR 1.497(a) and (b) together with a surcharge payment were required. Furthermore, the Notification indicated that a Sequence Listing had not been filed as required by 37 CFR 1.821(c) and (e).

On 10 May 2001, applicant filed a combined declaration and power of attorney executed by the inventors and a statement that "the subject application does not disclose any specific nucleotide and/or amino acid sequences . . . no sequence listing as set forth in 37 CFR 1.821(c) and (e) are required."

Application No.: 10/088,532

## **DISCUSSION**

The Notification of Missing Requirements (Form PCT/DO/EO/905) mailed 02 July 2001 indicated that the nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements set forth in 37 CFR 1. 1.821-825. Applicant states, "the subject application does not disclose any specific nucleotide and/or amino acid sequences . . . no sequence listing as set forth in 37 CFR 1.821(c) and (e) are required." Applicant's assertion is correct that there is no need to file a sequence listing for the present application. As such, the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 (Form PCT/DO/EO/905) mailed 02 July 2001 is vacated in part.

## **CONCLUSION**

Applicant's request that the requirement for a sequence listing to be withdrawn is **GRANTED**.

The application has an international filing date of 03 October 2000 under 35 U.S.C. 363 and a 35 U.S.C. 371(c) date of 11 September 2002.

This application is being returned to the United States/Elected Office for processing in accordance with this decision.

Anthony Smith Attorney-Advisor

Office of PCT Legal Administration

Tel: (703) 308-6314 Fax: (703) 308-6459